



RULES AND PROCESS FOR SUBMITTING APPLICATIONS
FOR
SMALL ZREC TARIFF
OF
THE CONNECTICUT LIGHT AND POWER COMPANY DBA
EVERSOURCE ENERGY (“EVERSOURCE”)
OR
THE UNITED ILLUMINATING COMPANY (“UI”)

ISSUE DATE: JANUARY 4, 2021, UPDATED JANUARY 15, 2021, UPDATED APRIL 22, 2021

EVERSOURCE AND UI RESERVE THE RIGHT TO REJECT ANY OR ALL APPLICATIONS

1. GENERAL

1.1 Introduction. The Rules and Process for Submitting Applications for Small ZREC Tariff (“Application Rules”) are hereby jointly issued by The Connecticut Light and Power Company dba Eversource Energy (“Eversource”), by its agent Eversource Energy Service Company and The United Illuminating Company (“UI”) (each a “Company” and collectively the “Companies”), pursuant to Connecticut General Statutes (“CGS”) Sec. 16-244r and Sec. 16-244s, and the Public Utilities Regulatory Authority’s (“Authority” or “PURA”) April 4, 2012 Decision in Docket No. 11-12-06 (the “LREC/ZREC Decision”), as well as its May 30, 2012 letters approving each Company’s Small ZREC Tariffs in accordance with Order No. 2 in Docket No. 11-12-06, and Dockets No. 09-12-05 for Eversource and 08-07-04 for UI (the Authority’s letters are collectively referred to as the “Tariff Decisions”). These Application Rules set forth requirements for Applicants seeking to participate in the Small ZREC Tariff and receive payments for renewable energy credits (“RECs”) produced by eligible Class I renewable energy generation projects that have zero emissions and that have an installed capacity of 100 kW (AC) and smaller (“Small ZREC Projects”). ZRECs as referred to herein are RECs produced by Small ZREC Projects.

1.2 Small ZREC Tariff Summary. Per CGS Sec. 16-244s, the application process for Small ZREC Projects is to be available on an ongoing and continuous basis. PURA has determined that a tariff-based procurement approach is consistent with this requirement. In accordance with the LREC/ZREC Decision and the Small ZREC Tariff Decisions, the Companies have established tariffs for the purchase of ZRECs from Small ZREC projects (“Small ZREC Tariffs”). For UI, the tariff is referred to as the “Rider Small ZREC Metering and Billing.” For Eversource, the tariff is referred to as the “Small Class I Zero Emission Project Tariff”. The forms of Service Agreement and Terms and Conditions, which are Attachments 1 and 2 respectively to each Company’s Small ZREC Tariff, are collectively referred to herein as the “Service Agreement”.

1.3 Capitalized Terms; Headings. Capitalized terms used but not defined in the body of these Application Rules have the meanings given to such terms in the respective Company’s Small ZREC Tariff (including the Service Agreement). The headings to articles and sections throughout these Application Rules are intended solely to facilitate reading and reference to all articles, sections and provisions of these Application Rules, and do not affect the meaning or interpretation of these Application Rules or the Small ZREC Tariff.

1.4 Qualification. To be considered for selection, an Application must adhere to the Application submission requirements set forth in Section 2 “Application and Enrollment Process”. Applications and Small ZREC Projects must meet all of the requirements set forth in Section 3 “Small ZREC Tariff Eligibility Requirements”.

1.5 Evaluation and Selection Criteria. Each Company will select qualified Applications that meet the eligibility requirements set forth in its Small ZREC Tariff and in Section 3 below on a first come, first served basis based on the date and time that Applications are received, subject to the details set forth in Section 1.8 below. There are no additional criteria for ranking Applications.

1.6 PURA Approval. Each Company’s Small ZREC Tariff was approved by PURA in the Tariff Decisions. Pursuant to the LREC/ZREC Decision which, among other things, approved the Companies’ solicitation plan, each individual Service Agreement does not require separate PURA approval.

1.7 Tariff-Based Solicitation. The solicitation of ZRECs from Small ZREC Projects is tariff-based and Applicants must apply to the Company in whose service territory the Small ZREC Project is located, in accordance with the terms of the applicable Small ZREC Tariff and these Application Rules. The rate to be paid for RECs under the Small ZREC Tariff (the “Small ZREC Tariff Rate”) is subject to approval by the Authority and will remain the Small ZREC Tariff Rate in effect until a subsequent Small ZREC Tariff Rate is applied for by a Company or the Companies (as applicable) and approved by the Authority. The Small ZREC Tariff Rate in effect will apply to all selected Applications and is not subject to negotiation or modification. The Small ZREC Tariff Rate will be made available on each Company’s website.

1.8 Solicitation Process. The solicitation of ZRECs from Small ZREC Projects will occur through a first come, first served application and enrollment process, subject to the availability of funding and the “Two-Week Window” (as defined below). For a two-week period, beginning with the opening of the Small ZREC application and enrollment process for each funding period, (the “Two-Week Window”), all Applications will be treated as if they were submitted and received on the same date and time¹.

At the conclusion of the Two-Week Window, the first come, first served process will apply.

However, if during the Two-Week Window, a Company receives applications for which the total dollar value exceeds available funding, the following process will apply:

- a. The Company will rank all Applications received during the Two-Week Window using a random selection process;
- b. Applications will be selected based on the randomly determined rank until the funding limit is exhausted;
- c. Applications not selected will be placed in a standby queue;
- d. If for any reason Small ZREC funding becomes available, such funding will be allocated for selection of the next Application(s) in the standby queue until the funding limit is exhausted.

Each funding period begins after PURA approves a new Small ZREC Tariff Rate. All Applications in each Company’s standby queue will expire on the date when the results of each Company’s next final RFP for the Medium category are filed as a Procurement Plan with PURA, (because each Procurement Plan will include the new annual funding period proposed Small ZREC Tariff Rate) and the application and enrollment process will be suspended until the new Small ZREC application period begins, following PURA’s approval of the new Small ZREC Tariff Rate.

1.9 Form of Transaction. When an Application is accepted and selected by a Company, authorized representatives of the Applicant and the Company will execute a Service Agreement with a fifteen year Delivery Term for the purchase and sale of RECs at the Small ZREC Tariff Rate in effect at the time of the Application. Once the Service Agreement is executed, the price for ZRECs under the Service Agreement will not change for the entire fifteen year Delivery Term, regardless of future changes to the Small ZREC Tariff Rate, which are applicable with respect to future solicitations only.

1.10 ZREC Projects Larger than 100 kW. The Small ZREC Tariff is only open to Small ZREC Projects. Per the LREC/ZREC Decision, ZREC projects larger than 100 kW, and projects that meet

¹ See Section 3.3 for an exception to this treatment for situations where multiple applications that meet the Application eligibility requirements are received for a project behind the same single revenue meter during a single annual funding period.

the requirement of CGS 16-244t (known as LREC projects) are procured via annual competitive solicitations.

1.11 Small ZREC Tariff is Controlling Document. In the event of any inconsistency between the provisions of the Small ZREC Tariff or any other part of these Application Rules, the provisions of the Small ZREC Tariff are controlling. Applicants should review the Small ZREC Tariff and all associated documents, including the Terms and Conditions and Service Agreement, thoroughly and submit their Applications based upon the Small ZREC Tariff, which will solely govern the transactions between the selected Applicants and their counterparty Company through the term of the resulting Small ZREC Tariff.

1.12 Confidentiality. The Company agrees to treat Applications in a confidential manner and will use reasonable efforts, except as required by law or regulatory authority, not to disclose such information to any third party or use such information for any purpose other than in connection with its evaluation of Applicant's participation in the application and enrollment process described herein. Applicants are hereby on notice that, subject to relevant PURA orders, all Application submissions are subject to regulatory oversight and all Applications submitted by any Applicant may be publicly disclosed within the time periods set forth in such orders, as may any awarded service agreement in its entirety. The Companies suggest that Applicants familiarize themselves with the relevant orders, as these regulatory orders may change from time to time.

2. APPLICATION AND ENROLLMENT PROCESS

2.1 Application and Enrollment Schedule. Each Company will post its application and enrollment schedule on its respective website, including the dates for the Two-Week Window and commencement of new funding periods from time to time. Applicants are encouraged to check Company websites on a frequent and regular basis for updates.

2.2 Applicants Informational Meeting. The Companies will periodically hold informational meetings for prospective Applicants to review all aspects of the Small ZREC program ("Informational Meetings"), and to answer questions about the application and enrollment process for each of the Companies. The purpose of the Informational Meetings is to provide potential Applicants with the opportunity to ask questions and clarify any aspects of the Application Rules, and other associated programmatic rules, such as metering and interconnection requirements. Prospective Applicants may ask questions during the Informational Meetings or submit questions in writing prior to an Informational Meeting. While the Companies may respond orally to questions posed at an Informational Meeting, only written answers that are provided in response to written questions and published in "Q&A" documents posted on the Companies' websites will be considered official responses. The Companies will post responses to Applicants' questions on their respective web sites following each Informational Meeting. Please see Section 4 of these Application Rules for contact information, including Company websites.

2.3 Application Submission.

2.3.1 Obtaining Application Forms: Each Company will develop and maintain its own form of online Application. Each Company's website will contain a link to the online Application form and Company-specific instructions for Application submission. The Companies' websites may also contain additional forms that Applicants may be required to complete. Applications are discussed in Sections 2.3.2 and 2.4 below.

2.3.2 Submission of Applications: Applications must be submitted in accordance with the Company-specific instructions provided on each Company’s website. Applicants must comply with the instructions to ensure that their Applications are complete. In addition to completion of the online Application form, Applicants must provide an Application Certification Form and a customer bill for the site at which the project is proposed, and may also be required to provide a Connecticut Licensed Professional Engineer Certification, Pending CEFIA Grant and/or Rebate Disclosure Statement, and/or an Incentive Application Denied letter from the Connecticut Green Bank certifying that they do not meet the Connecticut Green Bank’s criteria as a residential dwelling for the Residential Solar Investment Program based on the specifics of the Application. The above documents are defined and explained in Section 2.4 below. Specific instructions for how to provide these additional documents may vary by Company, and are set forth in the Company-specific instructions.

To Eversource: Applications must be submitted via the Eversource website. This website can be accessed by visiting www.eversource.com → Save Money & Energy → Residential → Renewable Energy Credits

To UI: Applications must be submitted via the UI website at www.uinet.com/powerprocurement; clicking on Small ZREC Program and following posted instructions. Required documents are uploaded to UI on the last page of the online application.

2.4 Application Details. Applicants must submit their Applications by using the online Application form, which contains the majority of the information necessary for the applicable Company to evaluate Applications. However, additional/supplemental information is or may be required as set forth below:

2.4.1. “Application Certification Form”: This four (4) page form is mandatory. Every Application must be accompanied by an executed Application Certification Form, which must contain three (3) signatures, one by the Applicant, one by the Service Agreement Counterparty, and one by the Owner of the Project Site. The Application Certification Form is an integral part of the Application, and Applications that do not include an executed Application Certification Form, including all three (3) signatures, will not be considered. Each Company’s website contains an Application Certification Form and the Company-specific instructions as to how such form is to be submitted.

The four (4) page Application Certification Form consists of the following:

- Page 1 – Instructions for completion of Pages 2 through 4
- Page 2 – Applicant’s Signature, Commitment and Acceptance: By signing this page of the Certification form, the Applicant or a duly authorized representative of the Applicant is making a number of certifications, including that the information provided in the Application is complete and accurate, and that the Applicant and the project meet the eligibility requirements set forth in Section 3 below.
- Page 3 – Service Agreement Counterparty’s Signature, Commitment and Acceptance: By signing this page of the Certification form, the Service Agreement Counterparty (“Seller”) or a duly authorized representative of the Service Agreement Counterparty is making a number of certifications, including

that the Seller has reviewed the statements and certifications of the Applicant, and that such statements and certifications as applicable to the project Application are true and accurate to the best of the Seller's knowledge.

- Page 4 – Affidavit of Owner of the Project Site's Notarized Signature, Commitment and Acceptance: In accordance with the March 11, 2014 letter ruling of PURA, the owner of the project site must sign this affidavit, which is required to be witnessed by a Notary Public. By signing the affidavit, the owner of the project site or a duly authorized representative of the owner of the project site is making a number of affirmations and certifications, including that the owner of the project site has reviewed the statements and certifications of the Applicant, and that such statements and certifications as applicable to the project site are true and accurate to the best of the knowledge of the owner of the project site.
- Eversource requires that the four (4) page Application Certification Form be attached to the Application as one single PDF (.pdf) file prior to submission as part of the completed Application. Both the Application and Application Certification Form are submitted at the same time.
 - UI requires that the four (4) page Application Certification Form for the YEAR 7 Small ZREC and all other required documents be uploaded on the last page of the online application. The Application, Application Certification Form and all other required documents are submitted at the same time. The attachments should be combined into a "single" .pdf document and submitted or emailed to lrec.zrec@uinet.com (the application number is required).

2.4.2 "Connecticut Licensed Professional Engineer Certification": The Application requires the input of information necessary to calculate a Maximum Annual Quantity of RECs for Small ZREC Projects that utilize Solar PV, wind, and small hydro as technology choices. The Maximum Annual Quantity will be calculated by the Companies by multiplying the installed capacity of Applicant's project with the Connecticut specific capacity factor provided in the Connecticut Integrated Resource Plan² ("IRP") for the applicable project technology and including an adder of five percent (5%) to account for potential annual variations in the output of the facility. For example, a 5% adder to a 20% capacity factor results in a capacity factor of 21%, not 25%, for the purpose of calculating the Maximum Annual Quantity. If (i) an Application is for a Small ZREC Project that does not utilize one of the technologies listed above for which the IRP provides a capacity factor or (ii) if the Applicant seeks to qualify a different capacity factor for the Small ZREC Project as discussed in Section 2.4.2.1 below, then a calculation by a Connecticut Licensed Professional Engineer "certifying" the average annual production for its project, based on the typical facility conditions for an average year, as defined below ("PE Certification") is required. The Companies will not provide a form of PE Certification, but guidelines are provided in Sections 2.4.2.1 and 2.4.2.2. For purposes of Section 2.4.2 of these Application Rules, "certifying", "certify" and/or "certification" means an expression of professional opinion by a Connecticut Licensed Professional Engineer regarding facts or findings that are the subject of the certification and does not constitute an express or implied warranty or guarantee. For all other provisions of these Application Rules, the

² For the Small ZREC Tariff, the Companies will utilize the same capacity factors associated with the technologies that are used in the respective Program year's RFP.

terms “certifying”, “certify” and/or “certification” have their typical and customary meanings. The Companies reserve the right to seek additional information related to a PE Certification, and to reject alternate capacity factors that are not reasonable in the Companies’ sole judgment and discretion.

2.4.2.1 If the Connecticut specific capacity factor for the applicable technology is not included in the IRP, then Applicant must submit a PE Certification certifying the average annual production for its project, based on the typical facility conditions for an average year, and backup information necessary for the Company to assess (in its discretion) whether the average annual production calculation is reasonable. The Companies will include the 5% adder, as described in Section 2.4.2 above, in determining the final Maximum Annual Quantity to be included in the Small ZREC Project’s Service Agreement.

2.4.2.2 If the IRP provides for a Connecticut-specific capacity factor for the applicable project technology but an Applicant seeks to qualify a different capacity factor for the calculation of the Maximum Annual Quantity for its Small ZREC Project, then such Applicant must submit a PE Certification that includes the average annual production for its project, based on the typical facility conditions for an average year. The Companies will include the 5% adder, as described Section 2.4.2 above, in determining the final Maximum Annual Quantity to be included in the Small ZREC Project’s Service Agreement.

2.4.3 “Pending Connecticut Green Bank Grant and/or Rebate Disclosure Statement”: If an Applicant has applied for any type of grant, rebate or other incentive to the Connecticut Green Bank or its predecessors the Clean Energy Finance and Investment Authority, or the Connecticut Clean Energy Fund (collectively “CT Green Bank”) for the Small ZREC Project for which the Application is applicable (excluding applications for CT Green Bank financing), a summary of all pending grant and rebate applications must be included. The Companies’ websites include the form of “Pending Connecticut Green Bank Grant and/or Rebate Disclosure Statement”. Please note that if an Application is selected for execution of a Service Agreement with one of the Companies, and the applicable Small ZREC Project has also been selected for grants or rebates from CT Green Bank, and such funding has not yet been disbursed by CT Green Bank, the Applicant must choose to either execute the Service Agreement or accept the CT Green Bank funding, but not both. As is discussed in Section 3.7 below, a Small ZREC Project may not receive both a CT Green Bank grant or rebate, and an agreement for the purchase and sale of ZRECs.

2.4.4 Documentation proving that the project has been denied from the Connecticut Green Bank Residential Solar Investment Program for failure to meet their residential dwelling criteria: Pursuant to Section 6(f) of Public Act No. 16-212, Any solar project located on a property that contains or will contain any residence of a customer of an electric distribution company that is determined to meet the Connecticut Green Bank criteria as a residential dwelling for the residential solar investment program shall not be eligible for small zero-emission renewable energy credits pursuant to sections 16-244r and 16-244s or for low-emission renewable energy credits pursuant to section 16-244t. If a project is or will be located at a residential site, a copy of any and all documentation proving that the project has been denied from the Connecticut Green

Bank's Residential Solar Investment Program for failing to meet the residential dwelling criteria must be included.

2.4.5 Customer Bill: For any projects proposed at a site with existing customer electric service, a copy of the customer bill must be included.

2.4.6 Participation in Forward Capacity Market: For purely informational purposes, Applicants must indicate whether they intend for the submitted projects to participate in ISO New England, Inc.'s Forward Capacity Market (FCM). Accepted applications shall be required to inform the Companies if the approved project becomes a participant in the FCM and the MWs accepted into the FCM.

3. SMALL ZREC TARIFF ELIGIBILITY REQUIREMENTS

All Applications and their respective Small ZREC Projects must meet the eligibility requirements set forth in the respective Companies' Small ZREC Tariffs and set forth below. Failure to meet any of these requirements could lead to disqualification of the Application from further review and evaluation, or termination of Applicant's Service Agreement, as applicable.

3.1 Statutory Requirements for Small ZREC Projects: To be considered a Small ZREC Project, a generation project must meet all of the following criteria that are set forth under the Connecticut General Statutes. Failure to meet any of these statutory criteria will result in a project not qualifying as a Small ZREC Project.

- a. Projects must qualify as Connecticut Class I renewable energy sources under CGS Sec.16-1(a)(26);
- b. Projects must qualify as ZREC-eligible projects under CGS Sec. 16-244r;
- c. Projects must be no larger than 100 kW (AC) in installed capacity pursuant to CGS Sec. 16-244r(b);
- d. The total of all ZREC projects behind the same revenue meter may not exceed 1,000 kW;
- e. Projects located at residential sites must not meet the Connecticut Green Bank criteria as a residential dwelling for the Residential Solar Investment Program pursuant to Section 6(f) of Public Act No. 16-212.

For the purpose of determining project size and the Maximum Annual Quantity, nameplate capacity is defined as the aggregate nameplate rating (converted, if necessary, to kW AC) of all zero emission renewable generation to be included in an Application behind a project's revenue Meter (Applications may consist of an aggregation of multiple zero emission renewable technologies behind one revenue Meter, subject in total to the 100 kW size limit). For clarification, for projects with an integrated prime mover and/or inverter, that have a nameplate capacity rating in kW AC, the AC capacity rating will be used. For projects that do not use an integrated prime mover and inverter, and that utilize prime movers that only have a capacity rating in kW DC, the nameplate capacity will be determined by the following: The lower of the DC rating of the prime mover converted to AC, and/or the AC rating of the inverter. The DC rating of the prime mover will be converted to AC by the use of a derating factor. This derating factor will be determined using a publicly available, technology-specific published derating factor from a federal or State of Connecticut governmental source (for example National Renewable Energy Laboratories (NREL) or DEEP). For solar photovoltaic, the NREL derating factor, currently 0.77, shall be used for solar photovoltaic projects for this solicitation. For projects that utilize prime movers that only have a capacity rating in kW DC, and if there is no publicly

available, technology-specific derating calculation for that technology that has been published by a federal or state of CT governmental source, the Company will use the AC capacity rating of the project's inverter(s).

3.2 Site Control: Applicant must certify in its Application Certification Form that it has site control in one of the following ways:

- a. Customer of record at the revenue meter ("Customer") with site control; or
- b. Site owner with consent of Customer; or
- c. Authorized developer with consent of Customer and Site Owner.

3.3 Project Location and Application Eligibility: Eligible Small ZREC Projects must be located behind the respective Company's customer revenue meter. The Companies will consider only one Application for any type or combination of types of qualifying Small ZREC technology behind any one customer revenue meter during any annual funding period. Projects may not be "split" into multiple Applications to qualify projects larger than 100 kW as Small ZREC Projects. For example, a developer of a 150 kW zero emission project may not split that project into two 75 kW projects. In this example, the 150 kW project is actually a medium ZREC project, and irrespective of intent, the net effect of splitting the project is to bypass the competitive solicitation process for medium ZREC contracts. If multiple Applications that meet the Application eligibility requirements are received for a project behind a single revenue meter during a single annual funding period, the first Application received will be considered, and all others will be disqualified, unless one or more of the Applications are withdrawn. While Applicants will be limited to one Application per revenue meter per funding period, the Companies will initially allow additional Applications to be submitted in subsequent annual funding periods for a particular revenue meter, subject in the aggregate to the statutory ZREC project size limit of 1,000 kW. However, if there is an existing LREC/ZREC Agreement that was in effect within twelve months prior to the submission of an additional Bid/Application, the additional Bid/Application for a project of the same class of technology, by the same project developer at the same project site/billing account/revenue meter, will not be allowed under that particular procurement year unless the existing LREC/ZREC project is in-service. Any new LREC/ZREC Agreement executed for a project of the same class of technology, at the same project site/billing account/revenue meter where an existing LREC/ZREC project did not ever go in-service, must receive a contract price using the lower of the two bid prices for the additional Bid/Application or the project that did not ever go in-service.

3.3.1 For purposes of clarification, if an existing LREC/ZREC Agreement does not ever go in-service (i.e. the Agreement terminates), a new Bid/Application may be submitted for a new project of the same class of technology by the same project developer at that same project site/billing account/revenue meter only after a twelve-month period from the date of termination has expired. Any new LREC/ZREC Agreement executed for a new Bid/Application under this condition will receive a contract price using the lower of the bid prices from the new Bid/Application or the terminated LREC/ZREC Agreement.

3.3.2 If an existing LREC/ZREC Agreement does not ever go in-service (i.e. the Agreement terminates), a new Bid/Application may be submitted for a new project of the same class of technology by a new project developer at that same project site/billing account/revenue meter without waiting a twelve-month period from the date of termination. Any new LREC/ZREC Agreement executed for a new Bid/Application with a new project developer

will receive a contract price using the lower of the bid prices from the new Bid/Application or the terminated LREC/ZREC Agreement.

3.3.3 If there is an existing non-terminated LREC/ZREC Agreement for a project of the same class of technology at the same project site/billing account/revenue meter, the project under that LREC/ZREC Agreement must be in-service before any additional Bids/Applications may be submitted at that same project site/billing account/revenue meter.

3.3.4 For the Small ZREC program, if multiple applications are received for a parcel of land that was not subdivided before January 1 of the year of the solicitation to which the Applicant is responding, or for which a subdivision was not recorded with the municipality in which such parcel is located prior to January 1 of the year of the solicitation, only the first Application submitted will be eligible, and all other applications will be disqualified. At the time of bidding/application, the Bidder/Applicant offering multiple Bids/Applications at a parcel must demonstrate such recording with the municipality to the appropriate EDC.

3.4 Interconnection: Proposed Small ZREC Projects must seek and gain approval to interconnect to the Company's distribution system in which the Project is located through the standard Company interconnection process. Projects must meet Company Guidelines for Interconnection ("Guidelines") as approved by PURA. The interconnection process is separate and distinct from the application and enrollment process for the Small ZREC Tariff. Interconnection is a prerequisite for purchase of RECs under the Service Agreement. Neither an interconnection application nor a completed interconnection agreement is required to submit an Application or to have that Application accepted.

3.5 REC Metering: Each Small ZREC Project must have a separate meter dedicated to the measurement of the project's energy output for the purpose of determining the quantity of Connecticut Class I RECs produced (the "REC Meter"), and such REC Meter must meet the metering requirements established by the New England Power Pool Generator Information System (NEPOOL GIS) and the Company in whose service territory the project is located. Applicants should note that the Companies have different metering requirements, and should familiarize themselves with those requirements attached to the applicable Small ZREC Tariff. Projects may have additional metering requirements separate and distinct from the REC Meter requirement. These additional metering requirements are not a part of the Small ZREC Tariff, and Applicants must meet all such requirements. For Small ZREC Projects located in UI's service territory, UI will own, maintain and read the REC Meters, however the metering requirements are applicable for setting forth other metering equipment and installation requirements.

3.6 Project In-Service Date: Per CGS Sec. 16-244r(c)(3), projects must be in service on or after July 1, 2011 to be eligible. For facilities built prior to July 1, 2011, which have been uprated with new production equipment (i.e., new solar panels, or turbines, etc.) installed on or after July 1, 2011, the new incremental production equipment may be eligible to the extent that it has its own REC meter that meets the requirements of the Small ZREC Tariff and this Section 3.

3.7 Connecticut Green Bank Grants and/or Rebates: Projects may not receive funding from both a Service Agreement under the Small ZREC Tariff and a grant or rebate from the CT Green Bank. Specifically, a project which receives or has received grants or rebates from CT Green Bank for the installation or construction of any proposed project may not also seek eligibility to sell ZRECs from the same project. This prohibition does not include (i) projects that receive(d) only predevelopment

and/or feasibility funding from CT Green Bank, or (ii) projects that receive(d) only financing in accordance with Section 99 of Public Act 11-80 through CT Green Bank. For purposes of this section, the Companies may consult with CT Green Bank regarding the eligibility of each Application.

3.8 Documentation proving that the project has been denied from the Connecticut Green Bank Residential Solar Investment Program for failure to meet their criteria as a residential dwelling: Pursuant to Section 6(f) of Public Act 16-212, any solar project located on a property that contains or will contain any residence of a customer of an electric distribution company that is determined to meet the Connecticut Green Bank criteria as a residential dwelling for the residential solar investment program shall not be eligible for small zero-emission renewable energy credits pursuant to sections 16-244r and 16-244s or for low-emission renewable energy credits pursuant to section 16-244t. Specifically, any project that is or will be located at a residential site must have applied for and been denied from the Connecticut Green Bank’s Residential Solar Investment Program for failure to meet their criteria as a residential dwelling in order to be eligible for the LREC/ZREC program. The Companies will require documentation as described in Section 2.4.4 above.

3.9 Prohibition on participation in both SCEF and LREC/ZREC Program: Applicants may not submit Bids/Applications for the same project, or for multiple projects on the same parcel(s) of land, for consideration in both the Small ZREC program and the annual SCEF RFP. If Bids/Applications are received for the same project or multiple projects on the same parcel(s) of land for consideration in both the small ZREC solicitation and the annual SCEF RFP, the Companies will allow the first Bid/Application submitted, regardless of the program it was submitted to, to remain active, and all other Bids will be disqualified.

3.10 Forward Capacity Market participation: Applicants must indicate whether they intend for the submitted projects to participate in ISO New England, Inc.’s Forward Capacity Market (FCM). Accepted applications shall be required to inform the Companies if the approved project becomes a participant in the FCM and the MWs accepted into the FCM.

4. CODE OF CONDUCT/COMMUNICATIONS

The evaluation of Applications by the Companies will be conducted in a manner to assure that all Applicants are treated in a fair and consistent manner. The evaluation criteria and timing of the application process will be the same for all Applicants, and will be offered on an ongoing and continuous basis as set forth herein, subject to the details set forth in Section 1.8 above.

Applicant Questions:

The Companies will be available throughout the application and enrollment process to receive and answer questions in writing. Such questions should be submitted directly to the Small ZREC Tariff administrators at the following addresses:

Eversource: lrec.zrec@eversource.com

UI: lrec.zrec@uinet.com

The Companies may periodically update the Q&A’s and post on their respective websites.

Eversource: www.eversource.com

UI: www.uinet.com/powerprocurement

Each of the Companies adheres strictly to a Code of Conduct for affiliate transactions promulgated by the PURA.